



# IRA TRANSFER FORM

**Overnight Delivery:**Payden Mutual Funds  
235 W Galena Street  
Milwaukee WI 53212**Regular Mail:**Payden Mutual Funds  
P.O. Box 1611  
Milwaukee, WI 53201-1611

**Instructions:** Use this form when redeeming and transferring funds from an existing IRA to a Paydenfunds IRA. **If you are establishing a new account, you must also fill out a Paydenfunds IRA Application.** Include a copy of your most recent account statement from the current Trustee or Custodian.

## TRANSFER COMING FROM

\_\_\_\_\_  
Name of Trustee/Custodian (Bank, Mutual Fund Company, etc.)

\_\_\_\_\_  
Address

\_\_\_\_\_  
City / State / Zip

----- fold here -----

Please check with your current trustee/custodian to determine if a Medallion signature guarantee is required to process this transfer. Upon receipt of the completed form(s) from you, we will contact your current trustee/custodian and process this transfer for you. We will notify you when the transfer has been completed and your funds have been invested in Payden Mutual Funds.

**Note for investors 70 1/2 or older:** you may take your required minimum distribution from any one or all of your IRA accounts. If you have not satisfied the minimum distribution requirements you may be required to take a minimum distribution from your present IRA before rolling over your retirement assets to a Payden Mutual Funds IRA. Consult your tax adviser regarding your distribution requirements.

## 1. INVESTOR NAME

Name (First, Middle, Last)		Social Security Number	Date of Birth
Permanent Address (no P.O. boxes)		City	State Zip
Daytime Phone Number	Evening Phone Number	E-mail Address	

## 2. PLEASE TRANSFER MY IRA FROM

\_\_\_\_\_  
Name of Current Trustee/Custodian (Bank, Mutual Fund Company, etc.)

\_\_\_\_\_  
Telephone Number

Transfer  immediately  at maturity

\_\_\_\_\_  
Account Number or Certificate of Deposit (CD)\*

\_\_\_\_\_  
Approximate Value

\_\_\_\_\_  
Maturity Date (if applicable)

\*Penalties may be assessed by your current trustee/custodian for early withdrawal.

### Mutual Fund IRA Transfer

In order to expedite your transfer, please provide the CUSIP/Symbol of your current Mutual Fund IRA Investment. If you do not know the CUSIP/Symbol, please contact your current provider/Custodian.

\_\_\_\_\_  
CUSIP/Symbol

\_\_\_\_\_  
CUSIP/Symbol

**Type of plan you now have:**

Rollover IRA     Traditional IRA

Roth IRA     SEP-IRA

Other Employer Sponsored Plan (Plan Type) \_\_\_\_\_

Other Roth Employer Sponsored Plan (Plan Type) \_\_\_\_\_

**Type of plan you are transferring to:**

Rollover IRA     Traditional IRA

Roth IRA     SEP-IRA

Are these assets inherited coming from a deceased participant account or beneficiary IRA?

Yes     No

**Note:** If these are inherited assets coming from an employer plan and you are a nonspouse beneficiary, please complete a **Nonspouse Beneficiary Direct Rollover from an Employer's Plan Form**. Call Shareholder Services at 800.572.9336 to receive the form.

### 3. YOUR INVESTMENT INSTRUCTIONS

The minimum initial investment is \$2,000 per fund for the funds below.

Invest in my existing Payden Mutual Funds IRA, account number \_\_\_\_\_.

Open a new\*    IRA    SEP-IRA    Roth IRA    Education Savings Account in:

	Amount	If amount is unknown, state percentage	
		or	%
<input type="checkbox"/> Cash Reserves Money Market Fund (#986)	\$		
<input type="checkbox"/> California Municipal Income Fund (#760)	\$		
<input type="checkbox"/> Core Bond Fund, Adviser Class (#770)	\$		
<input type="checkbox"/> Corporate Bond Fund (#767)	\$		
<input type="checkbox"/> Emerging Markets Bond Fund, Adviser Class (#771)	\$		
<input type="checkbox"/> Emerging Markets Corporate Bond Fund, Adviser Class (#781)	\$		
<input type="checkbox"/> Emerging Markets Local Bond Fund, Adviser Class (#775)	\$		
<input type="checkbox"/> Equity Income Fund, Adviser Class (776)	\$		
<input type="checkbox"/> Floating Rate Fund, Adviser Class (780)	\$		
<input type="checkbox"/> Global Fixed Income Fund (#966)	\$		
<input type="checkbox"/> Global Low Duration Fund (#977)	\$		
<input type="checkbox"/> GNMA Fund, Adviser Class (#772)	\$		
<input type="checkbox"/> High Income Fund, Adviser Class (#773)	\$		
<input type="checkbox"/> Limited Maturity Fund (#971)	\$		
<input type="checkbox"/> Low Duration Fund (#972)	\$		
<input type="checkbox"/> Strategic Income Fund, Adviser Class (#785)	\$		
<input type="checkbox"/> U.S. Government Fund, Adviser Class (#769)	\$		
<b>Total</b>	<b>\$</b>	<b>or</b>	<b>100%</b>

The minimum initial investment is \$100,000 per fund. An IRA rollover or transfer is required for the funds below.

	Amount	If amount is unknown, state percentage	
		or	%
<input type="checkbox"/> Core Bond Fund, Investor Class (#974)	\$		
<input type="checkbox"/> Emerging Markets Bond Fund, Investor Class (#762)	\$		
<input type="checkbox"/> Emerging Markets Corporate Bond Fund, Investor Class (#779)	\$		
<input type="checkbox"/> Emerging Markets Local Bond Fund, Investor Class (#774)	\$		
<input type="checkbox"/> Equity Income Fund, Investor Class (978)	\$		
<input type="checkbox"/> Floating Rate Fund, Investor Class (778)	\$		
<input type="checkbox"/> GNMA Fund, Investor Class (#989)	\$		
<input type="checkbox"/> High Income Fund, Investor Class (#964)	\$		
<input type="checkbox"/> Strategic Income Fund, Investor Class (#784)	\$		
<input type="checkbox"/> U.S. Government Fund, Investor Class (#970)	\$		
Total	\$	or	100%

\*If you are opening a new account, you will need to accompany this form with a completed IRA Application.

#### 4. WITHHOLDING ELECTION (SUBSTITUTE W-4P)

##### Withholding Election is not necessary for Roth accounts

NOTICE: The distributions you receive from your IRA are subject to Federal income tax withholding unless you waive withholding. You may waive withholding on your IRA distribution by returning a signed and dated IRS Form W-4P, Withholding Certificate for Pension or Annuity Payments, or substitute Form W-4P to the Custodian. Withholding will apply to the total amount of the distribution, whether taxable or not. If you waive withholding on your IRA distribution, or if you do not have enough Federal income tax withheld from your IRA distribution, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient. You are responsible for determining and paying all Federal, and if applicable, state and local taxes on distributions from all IRAs you own. If you do not waive withholding or elect an alternative withholding amount, ten percent will be withheld from your nonperiodic IRA distribution. Your election is valid until you revoke it. You may change your withholding election by completing another Form W-4P or substitute. If you are a non-resident alien you may not use Form W-4P to withhold income tax or to waive withholding.

Election: Unless you indicate a different withholding amount below or you waive withholding by indicating your election below, ten percent will be withheld from your IRA distribution.

- I do not want federal income tax withheld from my distribution from this account.
- I want federal income tax of 10% withheld from my distribution from this account.
- I want federal income tax of \_\_\_\_\_% (greater than 10%) withheld from my distribution from this account.

##### State Tax Withholding Election

Unless you waive state taxes below, state taxes will also be withheld if, at the time of your distribution, your address is within one of the mandatory withholding states.

- I do not want state income tax withheld from my distribution from this account.

For your convenience, a list of mandatory state withholding rates is included on the Tax Information Form. You may change your state withholding election on your IRA distribution by submitting the change in writing to the Custodian. Please contact a tax professional regarding the possible tax implications prior to making a redemption request.

## 5. SIGNATURE

I understand that conversions from a Traditional IRA to a Roth IRA will be treated as a distribution and may be considered ordinary income for tax purposes. I have been advised to consult a tax professional and assume full responsibility for this conversion transaction and will not hold the Payden Mutual Funds, and their agents (including, but not limited to, the Payden Mutual Funds Custodian) liable for any adverse consequences that may result.

I understand that the resigning custodian will remit any income tax which has been withheld to the Internal Revenue Service on my behalf. I also understand that if I recharacterize, amounts previously withheld may only be refunded by the Internal Revenue Service. Amounts withheld may be subject to a 10% early withdrawal penalty in addition to income tax.

### To current trustee/custodian:

Please consider this your authority to sell

\$ \_\_\_\_\_ or \_\_\_\_\_ %  
of my assets in the account identified in Section 2 and prepare a check payable to:

### Payden Mutual Funds:

#### FBO \_\_\_\_\_

PO Box 1611

Milwaukee, WI 53201-1611

*If you prefer to wire funds directly, please call 800.572.9336 for further instruction.*

It is my intention to transfer these assets to an IRA account with the above named Fund(s) for which UMB Bank, n.a. acts as Custodian. I certify that I have received and read the Prospectus(es) for the Fund(s) into which I am transferring my IRA.

\_\_\_\_\_  
IRA Owner Signature \*

\_\_\_\_\_  
Date

*\* If the owner of the IRA account is a minor, the responsible person designated on the current IRA account needs to sign this form.*

Medallion Signature Guarantee (if required)\*\*

\*\*Please check with your current trustee/custodian to determine if a Medallion signature guarantee is required to process this transfer.

A Medallion signature guarantee may be obtained from any eligible guarantor institution. These institutions include U.S. banks, savings associations, credit unions and brokerage firms participating in the Securities Transfer Association Medallion Program. Approved programs currently include STAMP, SEMP and MSP. **[A notary public stamp or seal is not acceptable.]**


## 6. ACCEPTANCE

This portion to be completed by UMB Bank, n.a., Custodian for Payden Mutual Funds IRA.

### For use by successor custodian only

Please be advised that UMB Bank, n.a. has been appointed to serve as successor trustee of this IRA. Please send the check representing the liquidation of the investments indicated in Section 2 along with a copy of this form to identify the check as a transfer of assets to:  
Payden Mutual Funds, P.O. Box 1611, Milwaukee, WI 53201-1611.

Please remit payment by check payable to: Payden Mutual Funds

\_\_\_\_\_  
FBO

\_\_\_\_\_  
Account #

P.O. Box 1611

Milwaukee, WI 53201-1611

\_\_\_\_\_  
Successor Custodian Signature

\_\_\_\_\_  
Date